SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> KLINSKY STEVEN B						2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [ NMFC ]								Reporting F Ile)	Person(	s) to Issuer 10% Ov	wner
(Last)	(First)	, , ,			3. Date of Earliest Transaction (Month/Day/Year) 08/18/2011								Officer (give title below)			Other ( below)	specify
C/O NEW MOUNTAIN CAPITAL, L.L.C. 787 7TH AVENUE, 49TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(Street)													Form file	d by More	than O	ne Reportir	ig Person
NEW YORK NY 10019																	
(City)	(State)	(Zi	p)														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Date				nsaction n/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(instr. 4)
Common Stock 08/1					8/2011		Р		4,600	)	Α	\$11.94 <sup>(1)</sup>	1,807,412			D	
Common Stock												68,965			Ι	See Note <sup>(2)</sup>	
Common Stock													65,792			I	See Note <sup>(3)</sup>
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date,		ate,	4. Transaction Code (Instr. 8)	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5) 8 eneficial Owned Following Reported Transactir		e s Ily J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

## Explanation of Responses:

1. The price reported is the weighted average price. The shares were purchased in multiple transactions at prices ranging from \$11.60 to \$12.05, inclusive. The reporting person undertakes to provide to the SEC, the issuer and any security holder, upon request, full information regarding the number of shares and the prices at which the shares were purchased.

(D)

Date

Exercisable

Expiration

Title

. Date

2. The Steven B. Klinsky Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

Code V (A)

3. New Mountain Guardian GP, L.L.C. directly owns 65,792 shares of common stock of New Mountain Finance Corporation. The reporting person is the sole owner of New Mountain Guardian GP, L.L.C. and may be deemed to beneficially own these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

Remarks:



\*\* Signature of Reporting Person

Amount

Number

of Shares

08/22/2011 Date

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.