SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Ogens David					2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]										tionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner				
(Last)	(First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 03/12/2012									Officer (g below)	ive title		Other (below)		
C/O NEW MOUNTAIN FINANCE CORPORATION 787 7TH AVENUE, 48TH FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X	lividual or Joint/Group Filing (Check Applicable Line)				
(Street) NEW YORK (City)	NY (State)	10 (Zi	019 p)												Form file	d by More	than C	Dne Reportir	ıg Person
		Та	ble I - No	n-Der	ivativ	e Se	ecuritie	s Acq	uired, I	Disp	osed of,	, or	Benefi	cially Ov	/ned				
Date					Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Yea	Date,	3. Transac Code (Ir 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
							Code	v			(A) or (D)	Price	(Instr. 4)						
Common Stock 03/1					12/2012				Р		11,000		A	\$13.5	11,000			D	
			Table II - I								sed of, o nvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, T if any C		4. Transact Code (In 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5) 8 eneficia Owned Following Reported Transact (Instr. 4)		e s Illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Date Exercisable Expiration Date

Explanation of Responses:

/s/ David Ogens

Title

Number

of Shares

** Signature of Reporting Person

<u>03/14/2012</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)

Code V

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