SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Ogens David						2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]									ationship of F all applicab Director		Person	s) to Issuer) 10% Ov	vner
(Last)	(First)	(Middle)				3. Date of Earliest Transaction (Month/Day/Year) 08/13/2019									Officer (g below)	jive title		Other (s below)	specify
C/O NEW MOUNTAIN FINANCE CORPORATION 787 7TH AVENUE, 48TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) NEW YORK NY 10019 (City) (State) (Zip)															Form file	d by More	than C	one Reportin	ig Person
		Та	able I - No	n-Der	ivativ	e Se	ecuritie	s Acq	uired,	Disp	oosed of,	, or	Benefi	cially Ov	vned				
Date				ate Exe Ionth/Day/Year) if an		2A. Deemed Execution Date, if any (Month/Day/Year)				es Acquired (A) or Of (D) (Instr. 3, 4 and 5			5. Amount Securities Beneficial Following Transactio	ly Owned Reported	Form	nership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(
Common Stock 08/1					13/2019				Р		1,850		Α	\$13.4765	65,7	19 ⁽¹⁾		D	
			Table II -								sed of, o onvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	version Date Execution Date, xercise (Month/Day/Year) if any e of (Month/Day/Year) (Month/Day/Year)				ransaction Derivation Secur			6. Date Expirat (Month	ion Da	ear) Derivative Securi (Instr. 3 and 4)		Amount	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s Illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

Explanation of Responses:

1. Includes shares acquired under the New Mountain Finance Corporation dividend reinvestment plan since the filing of Mr. Ogen's previous beneficial ownership report on Form 4 on November 14, 2018.

(D)

Remarks:

/s/ David Ogens

** Signature of Reporting Person

08/14/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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