SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

WNERSHIP Estimated aver hours per resp

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Handy Alice (Last) (First) (Middle)					2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC] 3. Date of Earliest Transaction (Month/Day/Year) 03/08/2021								ionship of Reporting Pe all applicable)					
													Director Officer (give title below)		10% Owner Other (specify below)			
C/O NEW MOUNTAIN FINANCE CORPORATION 48TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	, , ,					
(Street) NEW YORK NY 10019													Form file	d by More	than One Rep	rting Person		
(City)	ity) (State) (Zip)																	
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Date				2. Transa Date (Month/D		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				5. Amount Securities Beneficial Following	y Owned Reported	6. Ownership Form: Direct (or Indirect (I) (Instr. 4)	Beneficial Ownership		
							Code	v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 			(Instr. 4)		
Common Stock 03/0					3/2021		Р		1,000		Α	\$12.6698	2,0	00	D			
						curities Acqui ls, warrants,		•				•	ed					
		ate, Ti	ransaction Derivative Exp			Date Exercisable and xpiration Date Month/Dav/Year)			nderlying	lying Derivative		er of 10. Owners Form:	nip of Indirect Beneficial					

	Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)			

Explanation of Responses:

Remarks:

/s/ Alice Handy

** Signature of Reporting Person

03/09/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.