SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Wolfgruber Kurt					2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]								tionship of F all applicat		Person(s	s) to Issuer 10% Ov		
(Last)						3. Date of Earliest Transaction (Month/Day/Year) 08/16/2011								give title		Other (s below)		
C/O NEW MOUNTAIN CAPITAL, L.L.C. 787 7TH AVENUE, 48TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	, , ,					
(Street) NEW YORK NY 10019												Form file	d by More	than On	ne Reportin	ig Person		
(City)	(State)	(Zi	p)															
		Та	ble I - No	n-Deri	ivative S	ecurities Acq	uired,	Disp	osed of,	, or	Benefi	cially Ow	ned					
Date					isaction i/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)	
Common Stock 08/1					6/2011		Р		10,000	0	Α	\$12.68(1)	10,000			D		
						urities Acqui s, warrants, c							ed					
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Execution D		(4. Transaction Code (Instr. B)	action Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5) Beneficial Owned Following Reported		e C s F Ily C g (10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

1. The price reported is the weighted average price. The shares were purchased in multiple transactions at prices ranging from \$12.59 to \$12.69, inclusive. The reporting person undertakes to provide to the SEC, the issuer and any security holder full information regarding the number of shares and the prices at which the shares were purchased.

(D)

Date

Exercisable

Expiration

Title

Date

Remarks:

/s/ Kurt J. Wolfgruber

** Signature of Reporting Person

08/17/2011

Amount

of Shares

or Number

Date

Transaction(s)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code v (A)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.