SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Ogens David					2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]									ationship of F all applicab Director		Person	(s) to Issuer 10% Ov		
(Last)	(First)	, , ,				3. Date of Earliest Transaction (Month/Day/Year) 03/10/2023									Officer (g below)	ive title		Other (s below)	
C/O NEW MOUNTAIN CAPITAL, L.L.C. 1633 BROADWAY, 48TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X	, , ,					
(Street) NEW YORK (City)		10019 (Zip)												Form file	d by More	than C	one Reportin	ig Person	
		Та	able I - No	on-Der	ivativ	e Se	ecuritie	s Acq	uired,	Disp	posed of	, or	Benefi	cially Ov	vned				
Date					. Transaction Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					es Acquired (A) or Of (D) (Instr. 3, 4 and 5			5. Amount Securities Beneficiall Following Transactio		Form	/nership : Direct (D) lirect (I) : 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
								Code	v			(A) or (D)	Price	(Instr. 3 ar				(1150.4)	
Common Stock 03/1					10/2023				Р		5,400		Α	\$11.6482	135,266 ⁽¹⁾			D	
			Table II -					•	,		sed of, o onvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y		ate	Sec Der	Title and A curities Un rivative Se str. 3 and 4	nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s illy g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	.) (D)	Date Exerci:	sable	Expiration or			Amount or Number of Shares		(Instr. 4)			

Explanation of Responses:

1. Includes shares acquired under the New Mountain Finance Corporation dividend reinvestment plan since the filing of Mr. Ogen's previous beneficial ownership report on Form 4 on September 7, 2022.

<u>/s/ David Ogens</u> <u>03/14/2023</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.