SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person						2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]									A Relationship of Reporting Person(s) to Issuer Check all applicable) X Director 10% Ov				
(Last)	(First)	· ·	iddle)			3. Date of Earliest Transaction (Month/Day/Year) 09/02/2022										Officer (give title		Other (specify below)	
C/O NEW MOUNTAIN CAPITAL, L.L.C. 1633 BROADWAY, 48TH FLOOR					4. lf /	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(Street) <u>NEW YORK</u> NY 10019 (City) (State) (Zip)														Form file	d by More	than O	ne Reportin	g Person	
		Ta	able I - No	on-Der	ivativ	e Se	curitie	s Acq	uired,	Disp	osed of,	, or	Benefi	cially Ov	vned				
Date				. Transaction ate Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v			(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)
Common Stock 09/02					02/2022				Р		2,600		Α	\$12.9152	123,452(1)			D	
			Table II -								sed of, o onvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date,	Code (Instr				6. Date Exerc Expiration Da (Month/Day/Y		ite 'ear)	or		Amount or	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	e s Illy g	ly Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
	1				Code				Date	able	Expiration	Ti+L	•	Number of Shares					

Explanation of Responses:

1. Includes shares acquired under the New Mountain Finance Corporation dividend reinvestment plan since the filing of Mr. Ogen's previous beneficial ownership report on Form 4 on May 18, 2022.

<u>09/07/2022</u> Date

** Signature of Reporting Person

/s/ David Ogens

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.