SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number 3235-0287 Estimated average burden 0.5 hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>KLINSKY STEVEN B</u>				2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last)	(First)	(Middle)	3. Date 05/22/	of Earliest Transac 2020	ction (Month/Da	y/Year)		Officer (give title below)		(specify			
C/O NEW MOUNTAIN CAPITAL, L.L.C. 787 7TH AVENUE, 49TH FLOOR			4. If An	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) NEW YORK	NY	10019						Form filed by More	than One Report	ing Person			
(City)	(State)	(Zip)											
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Trans			2. Transaction	2A. Deemed	3.	4. Securities Acquired (A) or I	. Securities Acquired (A) or Disposed 5. Amount of 6. Owners			7. Nature of			

Execution Date, Transaction Of (D) (Instr. 3, 4 and 5) Securities Form: Direct (D) Indirect Date (Month/Day/Year) Beneficially Owned if any (Month/Day/Year) Code (Instr. or Indirect (I) Beneficial Following Reported (Instr. 4) Ownership 8) Transaction(s) (Instr. 4) (A) or (D) (Instr. 3 and 4) v Amount Price Code Р **\$9.0985**⁽¹⁾ Common Stock 05/22/2020 187,491 Α 7,228,214.97 D 05/26/2020 Р 12,509 **\$9.4995**⁽¹⁾ D Common Stock Α 7,240,750.97 See Common Stock 05/22/2020 Р 44,461 \$9.0849(1) 373,624 T Α Footnote⁽²⁾ See **\$9.466**⁽¹⁾ 05/26/2020 Р 28,400 402,024 T Common Stock A Footnote⁽²⁾ See Common Stock 1,020,267 Ι Footnote⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. The price reported is the average weighted price. The shares were purchased in multiple transactions. The reporting person undertakes to provide to the SEC, the issuer and any security holder, upon request, full information regarding the number of shares and the prices at which the shares were purchased.

2. The Steven B. Klinsky Non-GST Exempt Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

3. The Steven B. Klinsky Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

/s/ Steven B. Klinsky

** Signature of Reporting Person

05/27/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.