SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Last)				1. Name and Address of Reporting Person* Weinstein Adam					2. Issuer Name and Ticker or Trading Symbol New Mountain Finance Corp [NMFC]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
					3. Date of Earliest Transaction (Month/Day/Year) 08/13/2013									below)		10% Owner Other (specify below) and Director						
787 7TH AVENUE, 48TH FLOOR (Street)					4. If <i>A</i>	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X								
NEW YORK NY 10019														Form file	d by More	than Or	ne Reportin	g Person				
(City)	(State)	(Zi	p)																			
		Та	ble I - No	n-Der	ivativ	e Se	curitie	s Acq	uired, C	Disp	osed of,	, or E	Benefi	cially Ov	/ned							
Date				2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					I. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			5. Amount Securities Beneficiall Following Transactio	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)				
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1130.4)			
Common Stock 08/1					/13/2013				Р		2,400)	Α	\$14.65	23,611.05			D				
			Table II - I								sed of, o nvertible				ed							
1. Title of Derivative Security (Instr. 3)	tr. 3) 2. Conversion Date 3. Transaction Date Conversion (Month/Day/Year) 3. Transaction Date Conversion Date Conversion Date 3. Transaction Date Conversion Date 3. Transaction Date 3. T		ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis Expiration Date (Month/Day/Yes		e Sec ear) Der		7. Title and Amoun Securities Underlyi Derivative Security (Instr. 3 and 4) Amo or Num		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e (s lly g (10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				

Explanation of Responses:

/s/ Adam Weinstein

08/13/2013 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.