SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Stone James						2. Issuer Name and Ticker or Trading Symbol New Mountain Finance Corp [NMFC]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) C/O NEW MO	st) (First) (Middle) D NEW MOUNTAIN CAPITAL, L.L.C.				3. Date of Earliest Transaction (Month/Day/Year) 08/12/2013									x	Officer (g below)					
787 7TH AVENUE, 48TH FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) NEW YORK NY 10019													X	X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State)	(Zi	p)																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date					ate Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securitie Disposed (Beneficiall Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)	
Common Stock 08/12					2/2013				Р		7,000		Α	\$14.5691	56,891.34		D			
Common Stock 08/1					8/12/2013				Р		75		A	\$14.59	56,966.34			D		
Common Stock 08/1					8/13/2013				P 1,4		1,450		A	\$14.7088	58,416.34		D			
Common Stock 08/1					13/2013				Р		35		Α	\$14.6899	58,451.34		D			
Common Stock															6,655.02			I	By Spouse	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Exec curity (Instr. 3) or Exercise (Month/Day/Year) if any		3A. Deemed Execution D if any (Month/Day	on Date, Trans Code			5. Num Derivat Securit Acquire or Disp (D) (Ins and 5)	ive ies ed (A) osed of	6. Date Exerc Expiration Da (Month/Day/Y		ate	7. Title and Amo Securities Unde Derivative Secu (Instr. 3 and 4)		nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Number o derivative Securities Beneficially Owned Following Reported Transaction	e S Ily I	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code		(A)	(A) (D)		able	Expiration Date	or Nu		or Number of Shares		(Instr. 4)				

Explanation of Responses:

/s/ James Stone

** Signature of Reporting Person

<u>08/13/2013</u>

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5