SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Weinstein Adam					2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]								(Checl	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 11/14/2012										Officer (sine title			Other (specify below)		
C/O NEW MOUNTAIN CAPITAL, L.L.C.															CFO and Director					
787 7TH AVENUE, 48TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) NEW YORK NY 10019														X	X Form filed by One Reporting Person Form filed by More than One Reporting Person					
NEW YORK NY 10019																				
(City)	(State)	(Zi	p)																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date				ransaction e onth/Day/Year) (Month/Day/Year)		Execution Date, if any					Securities Acquired (A) or isposed Of (D) (Instr. 3, 4 ar					Form	nership : Direct (D) lirect (I) : 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(1150.4)				
Common Stock 11/1					14/2012				Р		2,500	0	Α	\$13.8	19,589.95			D		
			Table II - I (,		sed of, o nvertible				ed					
1. Title of Derivative Security (Instr. 3)	ive Conversion Date Execution Date,			ate,	4. Transaction Code (Instr.) 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expirati (Month/	on Da	ear)	e Securities Underly ar) Derivative Securit (Instr. 3 and 4)		derlying curity l) Amount or	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title		Number of Shares						

Explanation of Responses:

/s/ Adam Weinstein

** Signature of Reporting Person

11/15/2012 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL						
OMB Number:	3235-0287					
Estimated average burden						
hours per response:	0.5					