SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Kline John					2. Issuer Name and Ticker or Trading Symbol New Mountain Finance Corp [NMFC]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O NEW MOUNTAIN CAPITAL, L.L.C.				3. Date of Earliest Transaction (Month/Day/Year) 05/16/2012								X Officer (give title below)			Other (specify below) 16 Officer			
787 7TH AVENUE, 48TH FLOOR				4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person						
(Street) NEW YORK (City)	NY (State)	10 (Zi	019 p)									X		,	•	ng Person)ne Reportir	g Person	
		Та	ble I - Noi	n-Der	rivative S	ecurities Acq	uired, I	Disp	osed of	, or	Benefi	cially Ow	ned					
Date				nsaction h/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Disp Code (Instr.			Securities Acquired (A) or sposed Of (D) (Instr. 3, 4 an			Beneficial Following		Form	mership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership		
							Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common Stock 05/1				16/2012		Р		1,875	5	Α	\$13.77	9,412.383			D			
						urities Acquii s, warrants, c							əd					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate,	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expirati	Date Exercisable and cpiration Date lonth/Day/Year) 7. Title and Amo Securities Unde Derivative Secu (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5)	erivative derivative ecurity Securities		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

Date

Exercisable

Explanation of Responses:

/s/ John Kline

Title

Expiration Date

** Signature of Reporting Person

(Instr. 4)

05/18/2012

Date

Amount

or Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)

OMB APPROVAL					
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